(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 1 March 2001 (01.03.2001)

PCT

(10) International Publication Number WO 01/14497 A1

(51) International Patent Classification7: C09D 5/00, 5/08, 5/16, 183/06

C09K 3/18,

(21) International Application Number: PCT/AU00/00993

(22) International Filing Date: 21 August 2000 (21.08.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: PQ 2345

20 August 1999 (20.08.1999) AU

(71) Applicant (for all designated States except US): UNISEARCH LIMITED [AU/AU]; Rupert Myers Building, Gate 14 Barker Street, UNSW, Sydney, NSW 2052 (AU).

(72) Inventors; and

(75) Inventors/Applicants (for US only): JONES, Ashley, Ward [AU/AU]; School of Chemistry, University of New South Wales, Sydney, NSW 2052 (AU). LAMB, Robert, Norman [AU/AU]; School of Chemistry, University of New South Wales, Sydney, NSW 2052 (AU). ZHANG, Hua [CN/AU]; School of Chemistry, University of New South Wales, Sydney, NSW 2052 (AU).

(74) Agent: GRIFFITH HACK; GPO Box 4164, Sydney, NSW 2001 (AU).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: HYDROPHOBIC MATERIAL

(57) Abstract: The present invention provides a method of forming a modified gel capable of forming a hydrophobic surface on which water has a contact angle of at least 150°. The invention also provides a method of forming a hydrophobic coating from the modified gel. In some embodiments, the hydrophobic coating has an extremely high hydrophobicity with a contact angle in excess of 165°, and good substrate adhesion. The method involves bonding a gel with particulate matter. It is believed that the chemical hydrophobicity of the gel is enhanced by the physical roughness of the particulate matter.

- 1 -

HYDROPHOBIC MATERIAL

Technical Field

The invention relates to the technology of coatings.

In particular, the invention relates to coating materials

which are hydrophobic, and to methods for making coatings from those materials.

Background Art

10

15

20

25

30

Hydrophobic coatings are water-proof coatings which have immediate uses in reducing icing and fouling of other surface. Such coatings can also render protected surfaces resistant to attachment by water soluble electrolytes such as acids and alkalies, and by microorganisms.

In the past, surfaces have been protected against encrustation, corrosion, icing and fouling by means of coatings containing polymer films, hydrophobic solid fillers and hydrophobic liquids. One disadvantage of the use of such coatings is that they do not achieve multipurpose protection since they are not generally versatile enough to protect against damage from a variety of causes.

It is well understood that the wettability of various materials is dependent on both the physical and chemical heterogeneity of the material. The notion of using the contact angle θ made by a droplet of liquid on a surface of a solid substrate as a quantitative measure of the wetting ability of the particular solid has also long been well understood. If the liquid spreads completely across the surface and forms a film, the contact angle θ is 0° . If there is any degree of beading of the liquid on the surface of the substrate, the surface is considered to be non-wetting. For water, the substrate surface is usually considered to be hydrophobic if the contact angle is greater than 90° .

SUBSTITUTE SHEET (Rule 26) RO / AU

- 2 -

Examples of materials on which liquid droplets have high contact angles include water on paraffin, in which there is a contact angle of about 107°. Many applications require a hydrophobic coating with a high contact angle of at least 150°, and preferably at least 165°.

A "gel" is a substance that contains a continuous solid skeleton enclosing a continuous liquid phase. The liquid prevents the solid from collapsing, and the solid prevents the liquid from escaping. The solid skeleton can be formed by linking colloidal particles together.

The present inventors have now developed methods for producing materials which, when coated on a surface, render that surface hydrophobic.

15 Summary of the Invention

5

10

In a first aspect, the present invention provides a method of forming a material capable of being applied to a surface, the method including the steps of:

- (a) providing precursors capable of reacting to form a
 20 gel;
 - (b) reacting the precursors together to form the gel;
 - (c) adding a particulate material to the gel to form a mixture, the particulate material being capable of chemically bonding with the gel; and
- 25 (d) treating the mixture such that a modified gel is formed in which the particulate material is bound to the gel, and the modified gel is capable of forming a hydrophobic surface on which water has a contact angle of at least 150°.
- In a second aspect, the present invention provides a method of forming a coating on a substrate, the method including steps (a) to (d) of the first aspect of the present invention, and further including the steps of:

- 3 -

(e) applying the modified gel to the substrate; and

(f) treating the applied modified gel such that a coating is formed on the substrate, the coating having a hydrophobic surface on which water forms a contact angle of at least 150°.

Preferably, the hydrophobic surface defined in either the first or the second aspect of the present invention is such that water forms a contact angle of at least 155° on it. More preferably, the contact angle is at least 160°.

10 Even more preferably, the contact angle is at least 165°.

The hydrophobicity of the hydrophobic material when applied to a surface is preferably due to both the chemical properties of the modified gel and physical roughness of the material. It is envisaged that the modified gel of the first aspect of the present invention could be used to make solid materials in a range of possible forms, including bulk materials, thick coatings, and thin films.

15

20

25

30

The gel functions as a cross-linking agent which binds the particulate material, and attaches the modified gel to the substrate if required. Any known process for forming a gel may be used. Typically, the precursors defined in step (a) of the first and second aspects of the present invention at least include water, a solvent, and a metal alkoxide such as one of the following:

- -tetramethoxysilane (abbreviated TMOS), Si(OCH₃)₄
- -tetraethoxysilane (abbreviated TEOS), Si(OCH2CH3)4;
- -titanium tetraisopropoxide, Ti(O-iso-C₃H7)₄;
- -titanium tetramethoxide, Ti(OCH₃)₄;
- -titanium tetraethoxide, Ti(OC₂H₅)₄;
- -titanium tetrabutoxide, Ti[O(CH₂)₃CH₃]₄;
- -zirconium n-butoxide, Zr (O-n-C₄H₉)₄.

- 4 -

The solvent may comprise an alcohol such as methanol, ethanol, isopropanol, or butanol. Alternatively, the solvent may comprise hexane or diethyl either.

For example, silicate gels may be synthesised by hydrolysing an alkoxide dissolved in an alcohol with a mineral acid or base, or organic acid or base. The end product is a silicon dioxide network.

Step (b) of reacting the precursors together in the first and second aspects of the invention may be implemented by refluxing the precursors for an extended period, such as a period in the range from 4 hours to 24 hours.

10

25

The particulate material defined in step (c) of the first and second aspects of the invention may consist of particles having substantially equal diameters, or alternatively having a spectrum of diameters. Preferably, at least some of the particles have diameters within a range from 1 nm to 500 μ m. More preferably, the range is from 1 nm to 100 μm . Still more preferably, the range is 20 from 1 nm and 1 μ m. Still more preferably, the range is from 1 nm and 100 nm, and even more preferably the range is from 5 nm and 50 nm. In one embodiment, the particulate material consists of particles with diameters in a range from 1 nm to 500 μm . In a further embodiment, the primary particle diameter of the particulate component is the range from 5 nm to 50 nm. In yet a further embodiment, the average particle size is in the range from 5 nm to 20 nm. In yet a further embodiment, the average particle size is about 15 nm.

30 Step (d) of the first and second aspects of the invention may be implemented by firstly thoroughly mixing the mixture, such as in an ultrasonic bath. Optionally, an alcohol such as isopropanol may be added to the mixture

- 5 -

during this step to aid in dispersing the particulate material. Secondly, the mixture may be refluxed to cause chemical bonding between the particulate material and the gel.

Step (e) of applying the modified gel to a substrate may be carried out by any known technique of forming a coating from a liquid, such as spin coating, dip coating or spray coating.

5

10

15

25

30

Step (f) may involve drying the applied modified gel until a solid coating is formed. There may be solvents which need to be removed from the modified gel, and in such a case, the drying may include heating the applied modified gel to a temperature which is at least high enough to evaporate the solvents. It will be appreciated that the drying temperature will depend on the melting point of the substrate and the type of gel. The drying time for a particular application will usually depend on the temperature used, and to some extent on the thickness of the coating. In the case of silica coatings, it has been found that a heating temperature of in the range from 120°C to 400°C over a period of 10-30 minutes is suitable when the substrate is capable of withstanding such a temperature. Vacuum drying, or a combination of vacuum drying and heating, may be preferable when the substrate has a low melting point.

Elasticity and flexibility of the coating may be enhanced by adding a polymer component to the gel during step (c). Alternatively, the polymer component may be added to the gel either directly before or directly after step (c). The polymer component preferably bonds with the gel and particulate material during step (d), and is preferably either hydrophobic, or rendered hydrophobic by the reaction in step (d).

- 6 -

Where a polymer component is mixed into the gel, the method may further include a step prior to step (d) of adding a surface modifier to the gel for enhancing the intrinsic chemical hydrophobicity of the hydrophobic surface. The surface modifier may additionally enhance bonding between the gel and particulate material. surface modifier may be a compound containing one or more condensation cure groups and one or more hydrophobic groups. The one or more condensation cure groups may include one or more of the following groups: acetoxy; 10 enoxy; oxime; alkoxy; or amine. The surface modifier may comprise SiR(OAc)3, where R is a hydrophobic group such as methyl, ethyl, vinyl, or trifluoropropyl, and Ac is an acetyl group. In a preferred embodiment, the particulate 15 material comprises silica, the gel comprises a silicate gel, and the surface modifier comprises methyltriacetoxysilane. The step of adding the surface modifier may take place either immediately before step (c), during step (c), or immediately after step (c).

The gel, the particulate material, and optionally the polymer component, preferably form a slurry when mixed and reacted together in step (d) of the first and second aspects of the invention.

20

25

30

In a preferred embodiment, the particulate material consists of flame-hydrolysed silica powder, and the gel precursors include a compound capable of forming a silicon dioxide gel, such as either TMOS or TEOS. A suitable polymer component in this case is polydimethylsiloxane (PDMS), a polymer with hydroxyl groups terminating the ends of each chain. The resultant modified gel consists of silica particles chemically bound to a siloxane network, and surrounded by liquid.

- 7 -

Flame-hydrolysed silica powder is relatively inexpensive and commercially available as Aerosil™ silica powder from Degussa Limited with particles having a primary size in the range of 5-50 nm. Although flamehydrolysed silica particles are initially hydrophilic, the surface chemistry is changed during step (d) by converting silanol functional groups (=Si-OH) on the surfaces of the particles, to siloxane bonds (≡Si-O-Si≡). This interaction has the advantage of rendering the particles hydrophobic through reaction with the PDMS or a surface modifier. A modified gel made in this way is particularly hydrophobic for two reasons. Firstly, the chemical properties of siloxane bonds make it inherently hydrophobic. Secondly, the small size of the particles in the flame-hydrolysed silica gives the modified gel a small-scale roughness which increases the hydrophobicity of the silicon dioxide.

10

15

20

25

30

Although silica and silica-based particles are preferred, other materials of hydrophobic character which can be prepared with a sufficiently small particle size could be used in conjunction with a hydrophobic gel capable of bonding to the particles. Examples include particles and gels formed from a metal oxide, such as titanium dioxide. For instance, titanium dioxide particles could be reacted with a titanium dioxide gel formed from titanium tetraisopropoxide.

A specific embodiment of the first and second aspects of the invention will now be described. In step (a) the precursors are 5 g of TEOS, 1.7 g of water acidified with HCl to a pH of approximately 4, and 2.7 g of ethanol. In step (b) the mixture is refluxed for 6-36 hours to form a silica gel. In step (c) the particulate material added to the gel is 0.5 g to 2.5 g of flame-hydrolysed silica powder, forming a mixture. About 0.5 g to 5 g of PDMS and

- 8 -

approximately 50 g of isopropanol is then added to the mixture. In step (d), the reactants are mixed well and vibrated in an ultrasonic bath for 15 to 30 minutes, forming a uniform slurry. The slurry is then allowed to reflux for another 6-24 hours to make the silica gel chemically bond with the silica powder and PDMS. The slurry is the modified gel which can be used to form a coating according to the second aspect of the present invention. In a preferred embodiment, step (e) includes depositing drops of the slurry onto a substrate while the substrate is spinning. Typical substrates are metals, glasses, and ceramics. The substrate is spun at spin rate of 1000 to 2000 rpm. In step (f) the coated substrate is placed in an oven at a temperature of about 400°C for 10 to 30 minutes.

10

15

20

25

30

In a third aspect, the present invention provides a modified gel produced by the method according to the first aspect of the present invention.

In a fourth aspect, the present invention provides an object having a surface, at least a portion of which is coated with a hydrophobic coating formed from a modified gel made by the method according to the first aspect of the present invention.

In a fifth aspect, the present invention provides a hydrophobic coating produced by the method according to the second aspect of the present invention.

In a sixth aspect, the present invention provides an object having a surface, at least a portion of which is coated with a hydrophobic coating produced by the method according to the second aspect of the present invention.

In a seventh aspect, the present invention provides a use of the method according to the second aspect of the

- 9 -

present invention to coat at least a portion of a surface of an object.

5

10

15

20

25

Surfaces which can be treated with the hydrophobic coating include metals, alloys, glasses, ceramics, composites, but can also include other materials. The surface treatment can be used to inhibit corrosion or the formation of crystallisation centres. The treatment can be used to prevent icing on surfaces, to produce anti-griping hydrophobic coatings for aboveground fixed facilities such as buildings and other structures, to provide anti-icing and anti-corrosion coatings for aircraft, or to provide anti-icing, anti-fouling and anti-corrosion coatings for maritime and inland waterway vessels. A hydrophobic anti-fouling coating formed from silica has the advantage that it much less toxic to the marine environment than most currently-available coatings.

Other uses include increasing the resistance of roofs to microflora colonisation. The hydrophobic coatings may also be used for extending the survivability, performance, and reliability of instruments and equipment.

Still other uses envisaged for the hydrophobic coatings include reducing drag in vessels such as canoes, yachts, ships, and other watercraft, improving the corrosion resistance of cooling systems in internal combustion engines utilising closed heat exchangers having liquid heat transfer agents, providing anti-corrosive and anti-icing coatings for undercarriages of vehicles such as tractors and combines and for agricultural machinery in general.

The hydrophobic coating according to the present invention may also be used to waterproof building foundations and structures and radioactive waste storage facilities, extend the operating service life of water

- 10 -

cooling towers, protect railroad ties from microflora, provide anti-icing coatings for cooling chambers, refrigerators and chillers, extend the life cycle of hydroelectric power dams, and improve the efficiency of wind-driven motors. Metal parts coated in the hydrophobic coating would be less prone to rust because water would be repelled from the metal surface. The hydrophobic coating could also be used on windows, such as car windscreens, if the coating is largely transparent to visible light.

Throughout this specification, unless the context requires otherwise, the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated element, integer or step, or group of elements, integers or steps, but not the exclusion of any other element, integer or step, or group of elements, integers or steps.

In order that the present invention may be more clearly understood, embodiments will be described with reference to the following examples and the accompanying drawings.

Brief Description of Drawings

20

25

Figure 1 is an image produced by a scanning electron microscope at a magnification of 5,000 for a silica hydrophobic coating; and

Figure 2 is higher magnification scanning electron microscope image (x100,000) for the same hydrophobic coating shown in Figure 1.

30 Modes for Carrying Out the Invention

A method of making a silica-based hydrophobic coating will now be described. The two fundamental chemical reactions of the sol-gel process in this case are:

- 11 -

(1) hydrolysis,

$$\equiv$$
Si(OR) + H₂O -> \equiv Si(OH) + ROH; and

(2) condensation,

$$\equiv$$
Si(OR) + \equiv Si(OH) -> \equiv Si-O-Si \equiv + ROH

5 (alcohol-producing), and

$$\equiv$$
Si(OH) + \equiv Si(OH) -> \equiv Si-O-Si \equiv + H₂O (water-producing).

The hydrolysis and condensation reactions are usually concurrent.

The precursor used for preparing the gel is tetraethoxysilane (Si(OCH₂CH₃)₄), and the particulate material is flame-hydrolysed silica powder (AerosilTM silica). The silica powder has a primary particle size of 5 to 50 nm, and the specific surface of the powder is about 50-600 m²/g. The large specific surface is an important characteristic of the silica since it influences the surface roughness of a coating, and is thereby linked to the hydrophobicity of the coating.

The polymer component is polydimethylsiloxane (PDMS):

30

PDMS is a polymer which is unusually resistant to heat, being able to withstand temperatures up to about 400°C without deformation. It also has high electrical resistance, and is able to withstand outdoor exposure to UV radiation with little or no deformation or degradation for at least 10 years. PDMS is terminated with hydroxyl

- 12 -

groups which function as sites where the PDMS chains are able to react with and bond to the silica gel or silica particles. The PDMS becomes hydrophobic when the hydroxyl groups are removed. The PDMS used in this embodiment has a viscosity of about 90-20,000 cSt.

The modified gel is preferably formed as a slurry which can then be applied to a substrate to form a coating. Known techniques for forming conventional gel coatings can be used. One technique is to paint the slurry onto a substrate, but the uniformity of the coating produced is variable. A second technique is dip coating. The substrate is immersed in the slurry and withdrawn at a particular rate. A third technique is spin coating. In this technique, the substrate is attached to horizontal platform capable of spinning at a high rate, such as a few thousand rpm. The slurry is deposited drop-wise onto the substrate whilst it is spinning, and results in a highly uniform coating. The coating thickness can be controlled by adjusting the spin rate or the volume of slurry deposited. A further coating method is spray coating. A spray solution of the slurry is sprayed onto a substrate at an appropriate distance, flow rate and length of time such that a uniform coating of adequate thickness is formed.

25

30

20

10

15

Example 1

A silica hydrophobic coating has been prepared using the following procedure:

• Mix together:

5 g of TEOS;

1.7 g of water, acidified with HCl to a pH of approximately 4; and

- 13 -

2.7 g of ethanol. Reflux the mixture for about 6-36 hours to form the silica gel.

• Add to the mixture:

5

10

15

20

30

- 0.5 to 5 g of PDMS with viscosity of 90-150 cSt;
- 0.5 to 2.5 g of AerosilTM 200 (flame-hydrolysed silica powder with an average individual particle of size 15 nm) obtained from Degussa Limited; and

approximately 50 g of isopropanol.

- Mix the reactants well and vibrate in an ultrasonic bath for 15 to 30 minutes to disperse the particles and form a uniform slurry. Typical vibration frequencies of about 40 kHz are sufficient.
 - Remove the reactants from the ultrasonic bath, and reflux for about 6 hours. The resultant slurry is now suitable for preparing hydrophobic coatings.
 - Deposit drops of the slurry onto a substrate using spin coating. A spin rate of 1000 to 2000 rpm has been found to be sufficient, but will depend on the viscosity of the slurry, the coating thickness required, and the surface of the substrate. A range of substrate types can be used, including metals, glasses, silicon, ceramics, polymers, and composites. However, the substrate should be reasonably flat.
- Place the coated substrate in an oven at a temperature of about 400°C for 10 to 30 minutes.

Figures 1 and 2 show scanning electron microscope images of the surface of a hydrophobic coating produced using the above technique. The surface is viewed at a magnification of 5,000 (Figure 1) and 100,000 (Figure 2). It is clear from the images that the coating has an extremely rough surface. Moreover, the dimensions of the

- 14 -

surface roughness span at least three orders of magnitude, ranging from tens of nanometres to tens of microns.

Example 2

In this comparative example, example 1 was repeated except that the amount of Aerosil 200 was changed to 1.5g. With the higher PDMS/Aerosil ratio, there should be more bonding between silica particles without decreasing the hydrophobicity.

10

15

20

25

30

5

Example 3

In this comparative example, example 1 was repeated except that the amount of TEOS was reduced from 5g to 2.5g. It was found that with less TEOS acting as a crosslinking agent, the hydrophobicity of the coating does not decrease. With a comparatively higher PDMS content in this coating, the flexibility of the coating might increase. However, the durability of the coating might decrease due to less bonding between silica particles, and between particles and the substrate.

Example 4

In this comparative example, example 2 was repeated except that the amount of TEOS was reduced from 5g to 2.5g. It was found that with this moderate TEOS/Aerosil ratio and PDMS/Aerosil ratio, the final coating possesses good durability and flexibility.

Example 5

In this comparative example, example 1 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt. With longer PDMS chains in the coating, the flexibility of the coating might increase.

- 15 -

Example 6

In this comparative example, example 2 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

5

Example 7

In this comparative example, example 3 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

10

Example 8

In this comparative example, example 4 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

15

Example 9

In this comparative example, example 1 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 20,000 cSt or higher. With even longer PDMS chains in the coating, the flexibility of the coating might increase further.

Example 10

In this comparative example, example 2 was repeated except that the viscosity of PDMS used was increased to 20,000 cSt.

Example 11

In this comparative example, example 3 was repeated except that the viscosity of PDMS used was increased to 20,000 cst.

- 16 -

Example 12

In this comparative example, example 4 was repeated except that the viscosity of PDMS used was increased to 20,000 cSt.

5

10

Example 13

In this comparative example, example 1 was repeated except that Aerosil 200 was replaced by Aerosil 8202 (average individual particle size of 17 nm), which was also obtained from Degussa Limited. It was found that with these particles the hydrophobicity of the resultant coating does not decrease substantially.

Example 14

In this comparative example, example 1 was repeated except that the Aerosil 200 was replaced by tin oxide powder with an average particle size of about 300-500 nm. It was found that with the significant increase in particle size, the hydrophobicity of the coating decreased, but not substantially. This may suggest that hydrophobicity is influenced by surface roughness, and to a lesser extent by particle size.

Example 15

In this comparative example, example 1 was repeated except that the Aerosil 200 was replaced by antimony(III) oxide powder with an average particle size of around 5,000 nm. It was found that this significant increase in particle size substantially decreased the hydrophobicity of the coating.

Table 1 below shows the results of contact angle measurements made on coatings produced in examples 1-14.

- 17 -

Example 16

5

10

15

In this example, a silica hydrophobic coating was prepared using hexane instead of ethanol, and included a surface modifier.

A mixture comprising 1.5g of tetraethylorthosilicate (TEOS), 150mg of water (acidified by HCl to pH ~4), and 50ml hexane was refluxed for 4 hrs. To this reaction system, the following were added: 2 g of PDMS with hydroxyl groups terminated (viscosity varied from 90-50,000 cSt); 0.5g original Aerosil silica powder (not hydrophobically treated); 1.5q of Methyltriacetoxysilane; and about 50ml hexane. The reactants were mixed well and vibrated in an ultrasonic bath at a frequency of about 40kHz for 15 to 30 minutes such that the particles were dispersed and a uniform slurry was formed. The reaction mixture was removed from the ultrasonic bath and the resultant slurry was used to form a hydrophobic coating using spray coating. During spray coating, the slurry is 20 sprayed onto the substrate at an appropriate distance, flow rate, and length of time to form a uniform coating. After the substrate had been coated with the slurry, the so-formed hydrophobic coating was heat treated to remove the residue solvents. Curing can occur at 120-400°C for 10-30 min. The contact angle of the coating was 155°.

	Example No.	Contact Angle (degrees)
30	1	167
	2	164
	3	167
	4	153

- 18 -

Table 1.

WO 01/14497

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive.

PCT/AU00/00993

- 19 -

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

10

15

25

30

- 1. A method of forming a material capable of being applied to a surface, the method including the steps of:
- 5 (a) providing precursors capable of reacting to form a gel;
 - (b) reacting the precursors together to form the gel;
 - (c) adding a particulate material to the gel to form a mixture, the particulate material being capable of chemically bonding with the gel; and
 - (d) treating the mixture such that a modified gel is formed in which the particulate material is bound to the gel, and the modified gel is capable of forming a hydrophobic surface on which water has a contact angle of at least 150°.
 - The method according to claim 1 wherein the modified gel is capable of forming a hydrophobic surface on which water has a contact angle of at least 155°.
- 3. The method according to either claim 1 or claim 2
 wherein the modified gel is capable of forming a
 hydrophobic surface on which water has a contact
 angle of at least 160°.
 - 4. The method according to any one of the preceding claims wherein the modified gel is capable of forming a hydrophobic surface on which water has a contact angle of at least 165°.
 - 5. The method according to any one of the preceding claims wherein the precursors provided in step (a) include at least water, a solvent, and a metal alkoxide.
 - 6. The method according to claim 5 wherein the solvent comprises an alcohol.
 - 7. The method according to claim 6 wherein the alcohol

- 20 -

is selected from the following group:
methanol;
ethanol;
isopropanol;

5 and butanol.

25

- 8. The method according to claim 5 wherein the solvent is selected from a group comprising: hexane; and diethyl ether.
- 9. The method according to any one of claims 5-8 wherein the metal alkoxide is selected from the following group:

tetramethoxysilane;
tetraethoxysilane;

titanium tetraisopropoxide;

- titanium tetramethoxide;
 titanium tetraethoxide;
 titanium tetrabutoxide;
 zirconium n-butoxide.
- 10. The method according to any one of the preceding
 20 claims wherein step (b) of reacting the precursors together comprises refluxing the precursors for an extended period.
 - 11. The method according to any one of the preceding claims wherein the particulate material comprises particles having substantially equal diameters.
 - 12. The method according to any one of claims 1-10 wherein the particulate material comprises particles having a spectrum of diameters.
- 13. The method according to either claim 11 or claim 12

 wherein at least some of the particles have diameters within a range from 1 nanometer to 1 micrometer.
 - 14. The method according to either claim 11 or claim 12 wherein at least some of the particles have diameters

- 21 -

within a range from 1 nanometer to 100 nanometers.

- 15. The method according to claim 11 wherein substantially all particles have diameters within a range from 1 nanometer to 500 micrometers.
- 5 16. The method according to either claim 11 or claim 12 wherein the particles have a primary particle diameter in a range from 5 nanometers to 50 nanometers.
- 17. The method according to either claim 11 or claim 12
 wherein the particles have an average particle size
 in a range from 5 nanometers to 20 nanometers.
 - 18. The method according to either claim 11 or claim 12 wherein the average particle size is about 15 nanometers.
- 19. The method according to any one of the preceding claims wherein the method includes a further step prior to step (d), the step comprising mixing a polymer component into the gel, the polymer component being capable of bonding with the gel and particulate material during step (d).
 - 20. The method according to claim 19 wherein the polymer material is either hydrophobic or rendered hydrophobic during step (d).
- 21. The method according to any one of the preceding

 claims wherein the method includes a further step

 prior to step (d), the further step comprising adding

 a surface modifier to the gel, the surface modifier

 being capable of increasing the intrinsic chemical

 hydrophobicity of a hydrophobic surface formed with

 the modified gel.
 - 22. The method according to claim 22 wherein the surface modifier further enhances bonding between the particulate material and the gel.

- 22 -

- 23. The method according to either claim 21 or claim 22 wherein the surface modifier is a compound including one or more hydrophobic groups and one or more condensation cure groups.
- 5 24. The method according to claim 23 wherein the one or more hydrophobic groups include one or more of the following groups:

methyl;

ethyl;

vinyl;

trifluoropropyl.

- 25. The method according to either claim 23 or claim 24 wherein the one or more condensation cure groups include one or more of the following groups:
- 15 acetoxy;

enoxy;

oxime;

alkoxy;

amine.

- 20 26. The method according to any one of the preceding claims wherein the particulate material comprises a flame-hydrolysed silica powder, and the gel comprises a silicon dioxide gel.
- 27. The method according to any one of the preceding claims in combination with claim 19 wherein the polymer component comprises polydimethylsiloxane (PDMS).
 - 28. A method of forming a coating on a substrate, comprising the steps of:
- 30 forming a modified gel in accordance with the method of any one of the preceding claims;
 - applying the modified gel to the substrate; and
 - treating the applied modified gel such that a coating

- 23 -

is formed on the substrate, the coating having a hydrophobic surface on which water forms a contact angle of at least 150°.

- 29. The method according to claim 28 wherein the hydrophobic surface of the coating is such that water forms a contact angle on it of at least 155°.
 - 30. The method according to claim 28 wherein the hydrophobic surface is such that water forms a contact angle on it of at least 160°.
- 10 31. The method according to claim 28 wherein the hydrophobic surface is such that water forms a contact angle on it of at least 165°.
 - 32. The method according to any one of claims 1-31 wherein the modified gel is in the form of a slurry.
- 15 33. The method according to any one of claims 28-32 wherein the step of applying the modified gel to the substrate comprises using one of the following techniques:

spin coating;

20 dip coating; or spray coating.

25

- 34. The method according to any one of claims 28-33 wherein the step of treating the mixture comprises drying the applied modified gel such that a solid coating is formed.
- 35. The method according to claim 34 wherein the step of drying includes a step of heating the applied modified gel to a temperature which is sufficient to evaporate any solvents.
- 30 36. The method according to either claim 34 or claim 35 wherein the step of drying the coating comprises heating the coating to a temperature in the range from 120° to 400°C.

- 24 -

37. A modified gel produced by a method in accordance with any one of claims 1-27.

- 38. An object having a surface, at least a portion of which is coated with a hydrophobic coating formed from a modified gel made by a method in accordance with any one of claim 1-27.
- 39. A hydrophobic coating produced by a method in accordance with any one of claims 28-36.
- 40. An object having a surface, at least a portion of
 which is coated with a hydrophobic coating produced
 by a method in accordance with any one of claims 2836.
- 41. A method of forming a material capable of being applied to surface, substantially as herein described with reference to the accompanying examples and Figures.
 - 42. A method of forming a coating on a substrate substantially as herein described with reference to the accompanying examples and Figures.

20

5

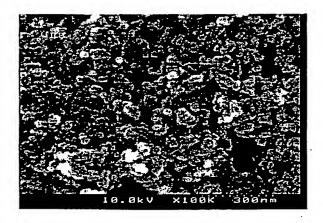


FIGURE 1

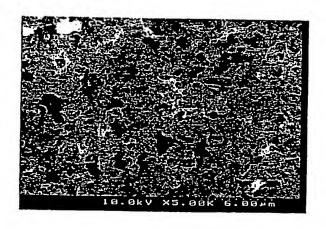


FIGURE 2

SUBSTITUTE SHEET (Rule 26)
RO / AU

CLASSIFICATION OF SUBJECT MATTER A. Int. Cl. 7: C09K 3/18, C09D 5/00, 5/08, 5/16, 183/06 According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED В. Minimum documentation searched (classification system followed by classification symbols) IPC: AS ABOVE Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPAT & JAPIO (Search terms: gel, silica) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Derwent abstract Accession No. 98-351631/31, Class A89 G02 L01, JP 10133002A (CANON KK) 22 May 1998. • \mathbf{x} See abstract 1-42 Derwent abstract Accession No. 93-162242/20, Class P81, JP 05093170A (MITSUBISHI RAYON CO LTD) 16 April 1993 - \mathbf{X} See abstract 1-42 EP 0381376A (SHIN-ETSU CHEMICAL CO LTD) 8 August 1990. See column 2 lines 18-49, column 5 lines 28-57, Examples 1-4 and claim 1. X 1-42 See patent family annex X Further documents are listed in the continuation of Box C Special categories of cited documents: later document published after the international filing date or "A" document defining the general state of the art which is priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention not considered to be of particular relevance document of particular relevance; the claimed invention cannot *E* earlier application or patent but published on or after be considered novel or cannot be considered to involve an the international filing date inventive step when the document is taken alone document which may throw doubts on priority claim(s) document of particular relevance; the claimed invention cannot or which is cited to establish the publication date of another citation or other special reason (as specified) be considered to involve an inventive step when the document is document referring to an oral disclosure, use, combined with one or more other such documents, such combination being obvious to a person skilled in the art exhibition or other means document published prior to the international filing document member of the same patent family date but later than the priority date claimed Date of mailing of the international search report Date of the actual completion of the international search 6 September 2000 Authorized officer Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA ALBERT S. J. YONG

Telephone No: (02) 6283 2160

E-mail address: pct@ipaustralia.gov.au

Facsimile No. (02) 6285 3929

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU00/00993

PC1/AU00/00993				
C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
	EP 0430156A (TOSHIBA SILICONE CO LTD) 5 June 1991			
x	See page 5 lines 13-24, page 6 lines 51-58 and page 9 lines 39-42	1-42		
	Derwent abstract Accession No. 93-049877/06, Class A32 G02 M13, JP 05001391A (TOA GOSEI CHEM IND LTD) 8 January 1993			
A	See abstract	1-42		
	·			

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.